96700/488

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Serial No.:

Nancy Carrasco, Orsolya Dohan, Uygar H. Tazebay,

and Irene L. Wapnir

09/519,959

March 7, 2000

"Express Mail" mailing label No_EL565807894US

Date of Deposit: October 19, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for

Patents, Washington, D.C. 20231.

For:

Filed:

METHODS FOR THE DIAGNOSIS AND

TREATMENT OF BREAST CANCER

Group Art Unit: 1643

COMMUNICATION IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Commissioner for Patents Washington, D.C. 20231

Box: Missing Parts

Sir:

The U.S. Patent and Trademark Office issued a Notice to File Missing Parts of Nonprovisional Application on May 5, 2000. In response, Applicants enclose the following:

- a copy of the May 5, 2000 Notice to File Missing Parts of Nonprovisional 1. Application (Exhibit A);
- a Petition for a Four-Month Extension of Time (Exhibit B); 2.
- two executed Declarations for Utility Patent Application (Exhibits C and 3. D);
- two Statements Claiming Small Entity Status pursuant to 37 C.F.R. 4. §1.27(c) (Exhibits E and F);

Applicants: Nancy Carrasco, Orsolya Dohan, Uygar H. Tazebay,

and Irene L. Wapnir

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Page 2

5. a check in the amount of \$1,162.00 to cover the \$65.00 surcharge fee, the \$345.00 basic filing fee, \$72.00 for additional claims, and the \$680.00 fee for a four-month extension of time, all for a small entity; and

6. a return receipt postcard.

A response to the May 5, 2000 Notice to File Missing Parts of Nonprovisional Application was originally due on July 5, 2000. With the four-month extension of time, the response is now due on November 5, 2000. Accordingly, this Communication is being timely filed.

No fee, other than the \$1,162.00 fee to cover the \$65.00 surcharge fee, the \$345.00 basic filing fee, \$72.00 for additional claims, and the \$680.00 fee for a fourmonth extension of time, is deemed necessary in connection with the filing of this Communication. If any further fee is required, authorization is hereby given to charge any deficiency to Deposit Account No. 01-1785.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN Attorneys for Applicants 90 Park Avenue New York, New York 10016

(212)/697-5995

Craig J. Arnold

Registration No. 34,287

Dated: October 19, 2000 New York, New York



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Nancy Carrasco, Orsolya Dohan, Uygar H. Tazebay,

and Irene L. Wapnir

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Filed:

March 7, 2000

For:

METHODS FOR THE DIAGNOSIS AND

TREATMENT OF BREAST CANCER

Group Art Unit: 1643

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, D.C. 20231

Sir:

Applicants respectfully request correction of the Filing Receipt for the above-identified application (a copy of which is attached hereto as Exhibit A).

Please note that there is an error in the name of the inventor, which should be corrected as indicated on the receipt. Specifically, the name of the inventor should read "TAZEBAY" not "TAZBAY". Also, the title of the corresponding attorney's name should read "ESQ." not "ESG.".

No fee is deemed necessary in connection with the filing of this Request. If any fee is required, however, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 01-1785.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN

Attorneys for Applicants

90 Park Avenue

New York, New York 10016

(212),697,5995

Dated: New York, New York

October 19, 2000

Registration No. 34,287



FORMALITIES LETTER

·OC000000005099995*



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/519,959

03/07/2000

Nancy Carrasco

96700/488

Craig J Arnold Esg Amster Rothstein & Ebenstein 90 Park Avenue New York, NY 10016



Date Mailed: 05/05/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$144.
 - \$144 for 8 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 964.

A copy of this notice MUST be returned with the reply.

Curtomer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

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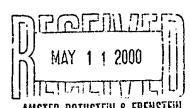
Address: ASSISTANT SECRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

- Annough to the same	APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
	09/519,959	03/07/2000	1643	0	96700/488	7	28	3	ļ

Craig J Arnold Eso Amster Rothstein & Ebenstein 90 Park Avenue New York, NY 10016



Date Mailed: 05/05/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nancy Carrasco, Residence Not Provided; Orsolya Dohan, Residence Not Provided; Uygar H. Tazbay Residence Not Provided; Irene L. Wapnir, Residence Not Provided;

Continuing Data as Claimed by Applicant

Foreign Applications

Title

Methods for the diagnosis and treatment of breast cancer

Preliminary Class

435

Data entry by: DIXON, DOROTHY

Team: OIPE

Date: 05/05/2000